

# Billing, Accounting & Credit Policy Working Group (BACWG)

The New York Independent System Operator 2022 System and Organization Controls Report

September 23, 2022

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#### 2022 SOC 1 project plan



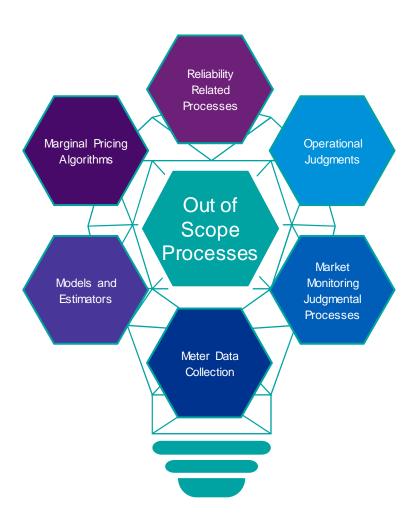


### SOC 1 framework and scope

Framework					
SOC Framework	SOC Report Section Two		SOC Report Section Three		
COSO 2013 Framework	NYISO's Description		NYISO's Control Objectives	SOC 1	
Control Environment	·		and Control Activities	Report	
Control Activities	Covers the COSO				
Risk Assessment	framework and overview of business and IT processes		Bid-to-Bill Business Processes	36 "Bid-to-Bill	
Information and Communication	Information and relevant to the scope of the			Processes" Control Objectives	
Monitoring Activities				110 Control Activities	
			General Computer Control Environment	6 Control Objectives	
				32 Control Activities	
			Total Control Activities	142	



### SOC 1 framework and scope (continued)









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